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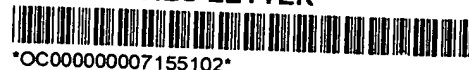
APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/990,182	11/21/2001	Alan J. Heffron	71342

22242
FITCH EVEN TABIN AND FLANNERY
120 SOUTH LA SALLE STREET
SUITE 1600
CHICAGO, IL 606033406



CONFIRMATION NO. 1223

FORMALITIES LETTER



Date Mailed: 12/04/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$876.
 - \$540 for 30 total claims over 20.
 - \$336 for 4 independent claims over 3.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1746.**

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The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - Drawings must be reasonably free from erasures and must be free from alterations, overwritings, interlineations, folds, and copy marks.

A copy of this notice **MUST** be returned with the reply.

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740.00 CH
336.00 CH
540.00 CH
130.00 CH


Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY



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PATENT

Attorney Docket No. 71342

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Heffron et al.

Appln No.: 09/990,182

Filed: November 21, 2001

For: APPARATUS AND METHOD FOR
ROLLING WORKPIECES

Group Art
Unit: 3725

Examiner:

CERTIFICATE OF MAILING

I hereby certify that this paper is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231, on this date.

2/13/02
Date

Stephen S. Favakeh
Registration No. 36,798
Attorney for Applicant(s)

RESPONSE TO NOTICE TO FILE MISSING PARTS

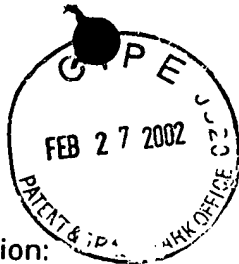
Box MISSING PARTS
Commissioner of Patents and Trademarks
ATTENTION: Assistant Commissioner for Patents
Washington, D.C. 20231

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Sir:

In response to the Notice to File Missing Parts dated December 4, 2001, enclosed are:

- ☒ A copy of the Notice to File Missing Parts.
- ☒ An executed Declaration for Patent Application, including Power of Attorney.
- ☒ 37 sheet(s) of drawings are enclosed. ☒ Formal ☐ Informal
- ☒ An Assignment of the invention to Ingersoll CM Systems, Inc., and Recordation Form Cover Sheet - Patents Only - Form PTO-1595.
- ☐ A check in the amount of \$ to cover the fee for recording the assignment.
- ☒ The Recordation Form Cover Sheet includes authorization to charge our Deposit Account for recording the assignment.

☒ Fee Calculation:Fee Calculation For Claims As Filed

<input type="checkbox"/> Provisional Application Basic Fee	\$160.00	
Surcharge under 37 C.F.R. §1.16(1)	\$ 50.00	
<input checked="" type="checkbox"/> Non-Provisional Utility Application Basic Fee	\$ 740.00	\$ 740.00
Independent Claims <u>7</u> - <u>3</u> = <u>4</u> x \$ 84.00		= \$ 336.00
Total Claims <u>50</u> - <u>20</u> = <u>30</u> x \$ 18.00		= \$ 540.00
Fee for Multiply Dependent Claims	\$ 280.00	
Surcharge under 37 C.F.R. §1.16(e)	\$ 130.00	\$ 130.00
<input type="checkbox"/> Design Application Basic Fee	\$ 330.00	
Surcharge under 37 C.F.R. §1.16(e)	\$ 130.00	
Total Filing and/or Surcharge Fee	\$ 1,746.00	

☐ Applicant(s) assert entitlement to Small Entity Status, reducing the Filing/Surcharge Fee by half to: \$

☐ A check in the amount of \$_____ to cover the Filing and/or Surcharge Fee.

☒ Charge \$ 1746.00 to Deposit Account No. 06-1135.

☒ The Commissioner is hereby authorized to charge any additional fees which may be required in this application under 37 C.F.R. §§1.16-1.17 during its entire pendency, or credit any overpayment, to Deposit Account No. 06-1135. Should no proper payment be enclosed herewith, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 06-1135. This sheet is filed in triplicate.

February 13, 2002
Date

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